

Department of Health
Developmental Disabilities Supports Division
Developmental Disabilities (DD) Waiver Provider Information Sheet
(Form must be filled out completely)
PLEASE PRINT CLEARLY

Date: _____ New Applicant _____ Renewing Applicant _____

State Bureau of Revenue CRS# _____ Medicaid Billing # _____

Business Name (dba) _____

Contact Person _____

Mailing Address _____

City _____ State _____ Zip Code _____

Physical Address _____

City _____ State _____ Zip Code _____

Phone # _____ Fax # _____ Cell # _____

E-mail Address _____ Toll Free # _____

Please answer the following questions regarding your organization:

1.) Does any other organization (including those who currently or previously provided service under the DDS Medicaid Waiver program) control or influence your agency? Yes _____ (or) No _____
(If "YES" please provide name(s) and contact information below, if necessary, submit a separate sheet)

Contact _____ Phone # _____ Email _____

2.) Does your agency control or influence any other organization (including those who currently or previously provided service under the DDS Medicaid Waiver program)? Yes _____ (or) No _____
(If "YES" please provide name(s) and contact information below, if necessary, submit a separate sheet)

Contact _____ Phone # _____ Email _____

Please fill out and sign this sheet.

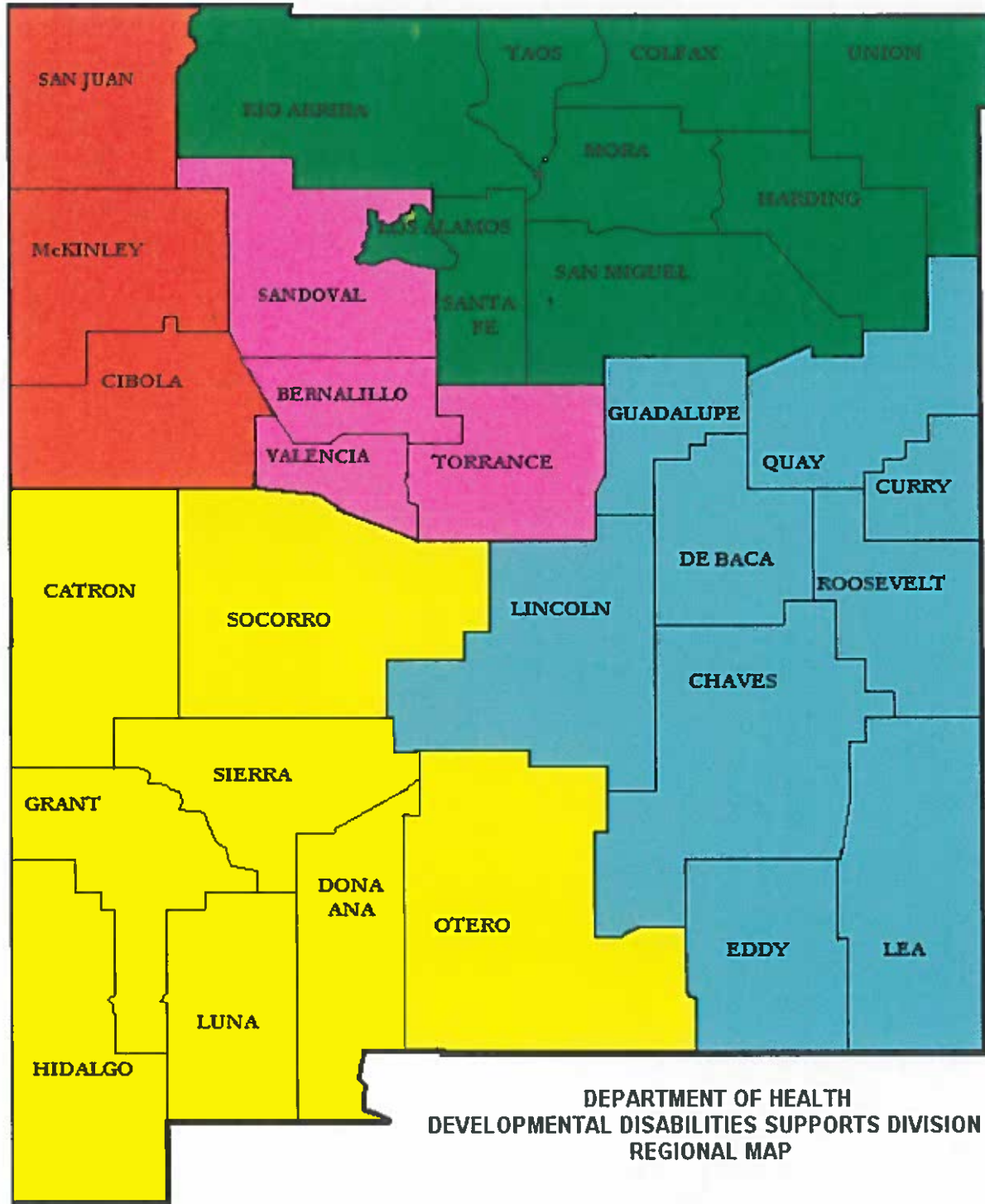
1. Name and address of each person with an ownership or controlling interest in the entity.

Name:		
Address:	Telephone Number:	Relationship:
Name:		
Address:	Telephone Number:	Relationship:
Name:		
Address:	Telephone Number:	Relationship:

2. Name of any person, agent, managing employee or any other person who has ownership or controlling interest in the entity who has been convicted of a criminal offense or assessed a civil monetary penalty related to that person's involvement in any program under Medicaid, Medicare, other federal program, or other state Medicaid programs.

Name:		
Address:	Telephone Number:	Relationship:
Name:		
Address:	Telephone Number:	Relationship:
Name:		
Address:	Telephone Number:	Relationship:

<u>Signature of Authorized Representative:</u>	<u>Title:</u>
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DEPARTMENT OF HEALTH
 DEVELOPMENTAL DISABILITIES SUPPORTS DIVISION
 REGIONAL MAP

- NORTHWEST REGION
- NORTHEAST REGION
- SOUTHWEST REGION

- SOUTHEAST REGION
- METRO REGION

**Department of Health
Developmental Disabilities Supports Division
Statement of Assurances**

Failure to comply with this Statement of Assurances may result in DDS D sanctions, up to and including a reduction in the term and/or termination of the Provider Agreement.

This form must be completed and signed by the applicant. If any portion does not apply to your agency, please mark it as non-applicable.

	INITIAL	DATE	N/A
Any individual who is an employee or subcontractor of an entity that is compensated for providing waiver services to an individual, must not provide services as guardian or Power of Attorney for that individual, except when related by affinity or consanguinity.			
Similarly, a person who is an owner, operator or employee of a provider agency, or a subcontractor that is compensated to provide waiver services to a given individual must not be designated under a Power of Attorney to make healthcare decisions for that same individual, unless the owner, operator or employee is related to the individual by blood, marriage or adoption. <i>See</i> NMSA 1978, § 24-7A-2(B) (Uniform Healthcare Decisions Act).			
A case management or Community Supports Coordinator provider agency may not be a provider agency for any other waiver service. A case management or Community Supports Consultant provider agency may not provide guardianship services to an individual receiving case management or Community Supports Coordinator services from that same agency. Case managers or Community Supports Coordinators are not permitted to serve on the board of a provider agency.			
Provider agencies will follow the Center for Medicare and Medicaid Services (CMS) Final Rule requirements. https://www.medicaid.gov/medicaid/home-community-based-services/index.html			
Provider agencies will learn, and use designated electronic systems as required for documentation, reporting and billing (i.e., Therap components, Conduent online portals, other online portals, etc.)			
Provision of data that validates service provision as requested in by the State for audits, validation of rates of reimbursement during periodic rate reviews/rate studies or other quality assurance activities.			
Provider agencies will document provision of services according to Medicaid billing requirements.			

Provider agencies will provide Adult Nursing Services and comply with the DD Waiver Service Standard requirements for this service, as applicable.			
Provider agencies will maintain all individual's files for up to six (6) years after the termination, Expiration of Provider Agreement or when an individual chooses to transition to another agency. Jackson Class Member files will be maintained permanently.			
Provider agencies must submit liability and bond insurance to the Provider Enrollment Unit (PEU) annually.			
Provider agencies will submit a current list of each Board Member's name, home address, phone number and email address to the PEU annually, if applicable.			
Provider agencies must notify the PEU if there is a change in licensee or subcontractor status with the provider agency.			
MF Waiver providers will maintain current certificates for licensed health facilities.			

IMPORTANT:

Failure to comply with the DDSD Statement of Assurances may result in DDSD sanctions, up to and including a reduction in the term and/or termination of the Provider Agreement.

Provider Signature and Title

Date

**Department of Health
Developmental Disabilities Supports Division
Renewing Provider Agency Status Sheet**

1. What was the date of your agency's last Quality Management Bureau (QMB) audit?
(Applicable services only) _____

2. What was your agency's last QMB audit rating and what were the major issues?

3. If a Plan of Correction was issued, what is the status of the plan? If not closed,
please explain why.

4. Has your agency been referred to the Internal Review Committee (IRC)? Yes or No
If so, when, and why?

5. Has your agency ever been placed on a State Imposed Moratorium? Yes or No
If so, when, and why?

6. Has the Regional Office placed your agency on a Performance Improvement Plan?
Yes or No If so, when, and why?

7. How many individuals does your agency serve in each service, in each region you
are approved to provide services in? (You may attach a separate sheet if needed)

PEU Provider Application Checklist

Provider Name: _____ Date Received: _____

Reviewer: _____ Date Reviewed: _____

New: _____ Renewing: _____

REQUIRED FORMS

___ DDS Provider Information Sheet DD ___ MF ___ SW ___

___ Service and County Request Form DD ___ MF ___ SW ___

___ Provider Agency Status Sheet (Renewing providers only)

___ Statement of Assurances Form

___ Proof of registration with the New Mexico Department of Taxation and Revenue (CRS#)

___ Articles of Incorporation / Board Members ___

___ Proof of Professional Liability Insurance: Naming Department of Health ___
(New providers within 30 days of approval)

___ Proof of Surety or Fidelity Bond: Naming Department of Health ___
(New providers within 30 days of approval)

ACCREDITATION

___ Accreditation Plan ___ Survey Date ___ Current Providers Expires: _____

___ Exemption Requested ___ Exempt ___

(AT/BSC/CM/CS/EM/ILT/MT/NC/NMT/OT/PRS/PST/PT/RN/SLP/SSE/VMS)

FINANCIAL

Business Plan (New provider) ___ Operating Budget (Renewing provider) ___

Annual Tax Return ___ Profit and Loss Statement ___ Financial Audit prepared by Accountant ___

Other: _____

QMB Survey, if applicable ___

PEU Provider Application Checklist

PROGRAM PORTION

Developmental Disabilities Waiver: ____

____ Mission statement

____ Organizational chart and brief position descriptions including management and supervisory positions.

____ Service Specific Questions

____ Agency Authoritative Documents per Service Type (Policies)

Medically Fragile Waiver: ____

____ Mission statement

____ Values statement

____ Organizational chart and brief position descriptions including management and supervisory positions.

____ Director's Resume

____ Agency Authoritative Documents per Service Type (Policies)

Supports Waiver: ____

____ Mission statement

____ Organizational chart and brief position descriptions including management and supervisory positions.

____ Agency Authoritative Documents per Service Type (Policies)

PROFESSIONAL LICENSURE

____ Current Professional Licensure/Certification (BSC/CM/EM/MT/NC/OT/PT/RN/SLP)

____ Living Supports Providers must have NC and RN